

RESEARCH ARTICLE

Convolutional Arabic handwriting recognition system based BLSTM-CTC using WBS decoder

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Abstract

Arabic handwriting recognition (AHR) poses major challenges for pattern recognition due to the cursive script and visual similarity of Arabic characters. While deep learning demonstrates promise, architectural enhancements may further improve performance. This study presents an offline AHR approach using a convolutional neural network (CNN) with bidirectional long short-term memory (BLSTM) and connectionist temporal classification (CTC). By enhancing temporal modeling and context representations without segmentation requirements, this BLSTM-CTC-CNN framework with an integrated Word Beam Search (WBS) decoder achieved 94.58% accuracy on the IFN/ENIT database. Results highlight improved efficiency over prior works. This demonstrates continued advancement in sophisticated deep learning techniques for accurate AHR through specialized modeling of Arabic script cursive properties and decoding constraints. This research represents an advancement in the continuous development of progressively intricate and precise systems for handwriting recognition.

Keyword: Arabic handwriting recognition (AHR), Convolutional Neural Networks (CNN), Bi-Dimensional Long Short-Term Memory (BLSTM), Connectionist Temporal Classification (CTC), Word Beam Search (WBS).

Introduction

Handwriting recognition, a distinct subset of Optical Character Recognition (OCR), holds significant importance in various contexts, such as industry, education, government, and healthcare [8, 9, 10, 11] and many other fields [1, 14], identifying Arabic handwriting in sequential images presents a promising research opportunity. Despite its complexity, real-time application of OCR enhances its practicality, making it more applicable in real-world scenarios [98]. The significance of handwriting recognition systems is underscored by their capacity for efficient data storage, expedited information retrieval, enhanced accessibility, and superior customer service [6, 7, 12, 13].

Arabic, spoken by over 400 million individuals globally, is not the only language that uses Arabic letters; they are also used in several other languages including Persian, Urdu, and Jawi [15]. Despite this extensive usage, the development of a robust offline automated handwriting recognition system for Arabic scripts remains a big challenge. This is primarily attributed to the cursive nature of the Arabic script, the substantial variation in individual handwriting styles, and the positional-dependent shape alterations of Arabic letters within a word [16], the Figure 1 provides an overview of some characteristic traits of Arabic handwriting. Despite these challenges, recent years have witnessed significant strides towards enhancing the accuracy of Arabic handwriting recognition systems.

Different shapes	
Overlap and ligatures	
Dots / Diacritics	

Fig 1. Some examples of Arabic handwriting characteristics

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Handwritten word recognition typically employs preprocessing techniques to mitigate the extensive variation in word arrangement. Standard preprocessing methods rely on the normalization of character size and the correction of letter slopes in the given image [17,18, 19]. Traditional offline handwriting recognition systems often involve a segmentation step prior to feature extraction. However,

manual feature extraction in Arabic handwriting recognition presents several challenges, primarily due to distortions and pattern variability.

The Hidden Markov Models (HMM) have been used as sequence learning algorithms to represent the output as a sequence of characters. Though their satisfactory performance, HMMs have limitations due to the Markovian hypothesis, which only learns text contextual information from the current state [20, 21].

Deep learning techniques have proven their efficacy across a multitude of real-world applications, including autonomous driving, natural language processing, finance, and healthcare [22, 23]. In pattern recognition, hierarchical deep neural networks enable end-to-end systems, eliminating the need for segmentation and manual feature engineering.

Research findings suggest that Recurrent Neural Networks (RNNs) exhibit superior performance over Hidden Markov Models (HMMs) in sequence labeling tasks, which include domains such as speech and handwriting recognition [24, 25]. Unlike HMMs, RNNs consider the interdependencies between neighboring states. While RNNs are trained using discriminative methods, HMMs uses generative methods. It has been noted that discriminative methods frequently yield superior outcomes in pattern recognition tasks when a substantial dataset is available.

The application of Recurrent Neural Network (RNN) architectures as globally trained recognizers that take raw pixel data as input has revolutionized holistic approaches for handwriting OCR tasks. Despite their potential, RNNs were initially infamous for being difficult to train due to issues such as the vanishing gradient in backpropagation training. However, the introduction of the Long Short-Term Memory (LSTM) architecture has somewhat revived the use of RNNs. LSTM, a highly non-linear recurrent network with multiplicative gates and additive feedback, enables the network to exploit the context information in the data [26].

While the application of RNNs to offline handwriting presents its own set of challenges due to the multi-dimensional nature of the input, a viable solution has been found in presenting the images to the network one vertical line at a time, effectively transforming them into 1D sequences. A bidirectional variant of the LSTM architecture has been proposed to access context in both forward and backward directions, which are then connected to a single output layer. Building on this foundation, recent advancements in offline handwriting recognition have seen the successful integration of Convolutional Neural Networks with RNNs [27, 28, 29, 30, 31, 32]. CNNs have proven to be highly effective in discerning the structure of handwritten characters or words, thereby facilitating the automatic extraction of distinct features. To address the challenge of segmented training data, the Connectionist Temporal Classification (CTC) has been employed to align transcripts with the output of the neural network, further enhancing the efficacy of this combined approach.

In this study, we develop an advanced system for offline Arabic handwriting recognition, exploiting the capabilities of CNNs, BLSTM and CTC. The proposed system is specifically engineered to tackle the distinct challenges associated with Arabic handwriting recognition. The BLSTM, a particular instance of directed acyclic graph networks, extends the functionality of standard RNNs by incorporating recurrent connections across all spatio-temporal dimensions inherent in the data. These connections equip the BLSTM with robustness against local distortions across any combination of input dimensions, thereby enabling the flexible modeling of two-dimensional context.

The organization of this paper is as follows: Section 2 provides an exhaustive survey of the current state of the art in the field of Arabic handwriting recognition. Our proposed system, which integrates Convolutional Neural Networks, Bidimensional Long Short-Term Memory, and Connectionist Temporal Classification with a Word Beam Search decoder, is detailed in Section 3. Section 4 is dedicated to the presentation of our experimental results and a comprehensive discussion, offering a systematic evaluation of the system's performance. The paper culminates in Section 5, where we summarize our key findings and suggest potential directions for future exploration in this research area.

Method

This section outlines the development of an advanced Convolutional Recurrent Neural Network model for Arabic handwritten text recognition. The proposed model is a composite of three key components (Figure 2): A Convolutional Neural Network based feature extractor, which extracts pertinent features from a text image; recurring layers that utilize Bidimensional Long Short-Term Memory to predict a pre-frame from an input sequence; and a transcription layer that incorporates a Connectionist Temporal Classification. The CTC is particularly effective in calculating the loss function, enabling the model to learn more effectively. The proposed model employs Word Beam Search for decoding to translate the predictions into the marker sequence providing a more accurate and efficient conversion of the model's output.

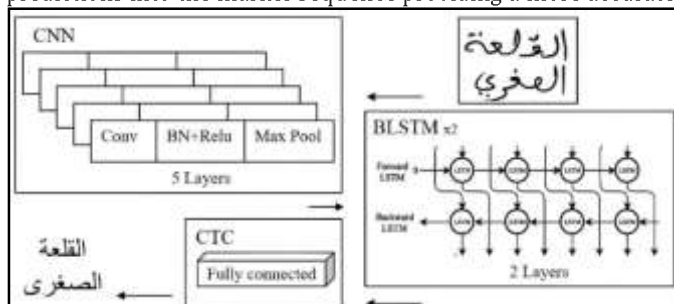


Fig 2. Model architecture

Feature extraction using CNN

Convolutional Neural Networks introduced by LeCun and Bengio in 1995, have emerged as a powerful tool for feature extraction in the field of Arabic handwriting recognition. CNNs, with their architecture and ability to learn hierarchical representations, can automatically and adaptively learn spatial hierarchies of features. This makes them particularly suited for the task of recognizing complex patterns in Arabic handwriting. By leveraging the multilayered structure of CNNs, low-

level features in the initial layers can be transformed into high-level features in deeper layers. These high-level features provide a robust representation of the input data, capturing intricate details that are crucial for accurate recognition. Thus, the use of CNNs as feature extractors offers a promising approach to enhance the performance of Arabic handwriting recognition systems.

A CNN architecture comprises convolution layers with learnable filters that generate feature maps. Pooling layers subsequently reduce the spatial size of these maps, aiming to decrease parameters, computation time, and exercise control over potential overfitting. The adopted CNN architecture is presented and detailed in our previous work [49], with some hyperparameter modifications aimed at improving the feature extraction process. The figure 3 illustrates the implemented CNN architecture.

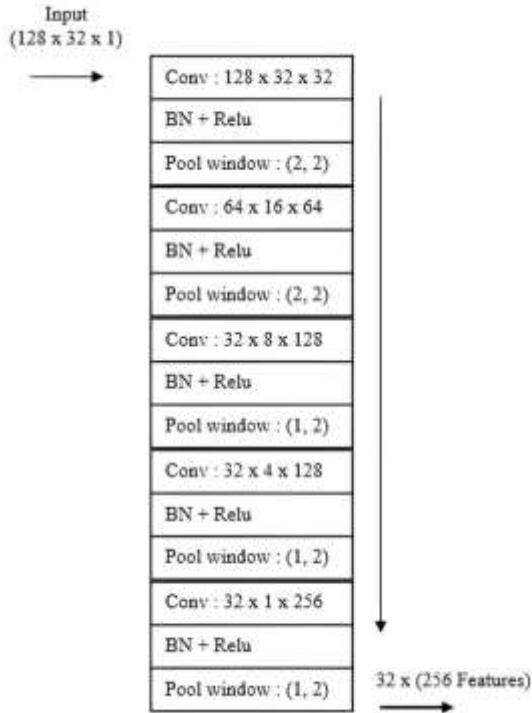


Fig 3. Architecture CNN Model

The convolution operation identifies pertinent features from the input image using filters, which are then subjected to a batch normalization process. A Rectified Linear Unit (ReLU) activation function is employed to discard negative values and mitigate the impact of vanishing gradients. The outputs from the activation function are forwarded to a max-pooling layer, which conducts sub-sampling by choosing the most significant features. Five convolutional layers are used, the first two layers are designed with filters of dimension (5, 5) units, a strategic choice to expand the receptive field of the network's early stages. The subsequent layers employ smaller filters of dimension (3, 3) units. Max Pooling Layers has been added between convolutions to reduce the width and length dimension. The pooling operation varies in its implementation: the initial two layers use a sliding window of size (2x2), while the remaining layers utilize a window of size (1, 2). This variation in window sizes is a deliberate design decision within the network's architecture. Following the automatic feature extraction process ensured by CNN, the output of the final pooling layer is an input of the BLSTM network, which is the second component of our architecture model.

LSTM vs BLSTM

Recurrent Neural Networks have emerged as a powerful model for processing sequential data due to their ability to capture dynamic temporal behavior. RNNs are designed to recognize patterns in sequences of data, such as text, genomes, handwriting, or the spoken word.

A significant advancement in RNNs is the Long Short-Term Memory (LSTM) network, a type of RNN that is capable of learning long-term dependencies. LSTM networks incorporate a memory cell that allows them to maintain information in memory for extended periods. This feature addresses the vanishing gradient problem, a challenge in training traditional RNNs where the contribution of information decays geometrically over time.

The block diagram (Figure 4) illustrates the internal structure of an LSTM cell, which consists of three main gates: the input gate, the forget gate, and the output gate. These gates regulate the flow of information through the cell, controlling how much of the previous state (C_{t-1}) is forgotten, how much of the new input (X_t) is added, and how much of the updated state (C_t) is outputted

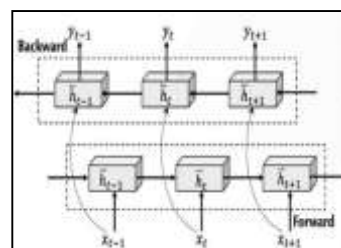
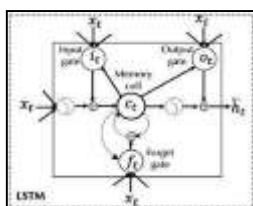


Fig 4. LSTM architecture

Fig 5. BLSTM architecture

- Input gate (it): The input gate determines how much of the new input (X_t) should be incorporated into the cell state. It takes the current input (X_t) and the previous hidden state (h_{t-1}) as inputs and applies a sigmoid function to produce a value between 0 and 1. A value closer to 1 indicates that more of the new input should be added, while a value closer to 0 indicates that less of the new input should be added.
- Forget gate (ft): The forget gate decides how much of the previous cell state (C_{t-1}) should be retained or forgotten. It takes the current input (X_t) and the previous hidden state (h_{t-1}) as inputs and applies a sigmoid function to produce a value between 0 and 1. A value closer to 1 indicates that more of the previous state should be kept, while a value closer to 0 indicates that more of the previous state should be forgotten.
- Output gate (Ot): The output gate controls how much of the updated cell state (C_t) should be outputted as the hidden state (h_t). It takes the current input (X_t) and the previous hidden state (h_{t-1}) as inputs and applies a sigmoid function to produce a value between 0 and 1. A value closer to 1 indicates that more of the cell state should be outputted, while a value closer to 0 indicates that less of the cell state should be outputted.

The LSTM cell also includes a tanh function that scales the cell state (C_t) to a value between -1 and 1. This scaled cell state is then multiplied by the output gate (Ot) to determine the final hidden state (h_t).

Bidirectional Long Short-Term Memory (BLSTM) networks, an extension of LSTM networks, have been developed to enhance performance in tasks requiring contextual information from both preceding and succeeding time steps. This improvement is achieved by training two distinct LSTMs: one processes the data from left to right (forward states), while the other operates from right to left (backward states) (Figure 5). The combined outputs provide a more comprehensive representation, capturing a broader spectrum of temporal dependencies.

In the architecture employed, the recurrent neural network comprised two BLSTM layers. Each of these layers contained two LSTM cells responsible for executing the forward and backward passes of inputs within the network. Each LSTM cell was equipped with 256 hidden units.

The Connectionist Temporal Classification (CTC):

Upon completion of the feature extraction sequence by the CNN, the BLSTM will propagate the output as a matrix to the CTC layer. The CTC is a type of output for a neural network that typically follows the recurrent neural network layer, which is usually tasked with scoring functions. It presents an exceptional solution for handwritten image recognition as it eliminates the need for pre-segmentation to transform the RNN outputs into label sequences. The CTC performs two tasks: Firstly, it calculates the loss function to train the model, secondly, it decodes the data to obtain the recognized text included in the input image. While CTC is effective in calculating the loss function, other methods such as, best path decoder, Beam Search, or Word Beam Search [99] offer improved decoding performance.

- The Best Path decoder selects the most probable label sequence by considering the entire output sequence's probability. It takes into account the dependencies between consecutive labels, providing a more accurate prediction but at a higher computational cost.
- Beam Search, on the other hand, maintains a set number of alternative sequences (the 'beam width') at each time step. This method balances between computational efficiency and prediction accuracy.
- Word Beam Search is a specialized version of Beam Search that incorporates language model information. It can recognize whole words at a time, making it particularly effective for languages with clear word boundaries like Arabic.

In terms of effectiveness, while Best Path and Beam Search provide good results, Word Beam Search often outperforms them in Arabic handwriting recognition systems. This is due to its ability to leverage language model information and recognize whole words, which aligns well with the characteristics of the Arabic language

Results and Discussion

This section presents a comprehensive analysis of the results derived from our proposed model. It includes an exploration of the IFN-ENIT dataset, data augmentation techniques, and discusses the performance of various model variants under diverse parameters and methods. Each of these elements plays a pivotal role in enhancing the overall efficacy of our system.

IFN-ENIT Database

The IFN/ENIT dataset, which originates from the Institut fuer Nachrichtentechnik Germany /Ecole Nationale d'Ingénieur de Tunis and was published by Pechwitz et al. [94], consists of 32,492 images of Arabic words written by over 1000 writers. These words represent the names of 937 towns and villages in Tunisia. The dataset is divided into five distinct sets, as detailed in Table 2. For the purpose of training our model, we use sets 'a', 'b', and 'c', while set 'd' for validation and set 'e' for testing. Figure 6 provides a few examples from the IFN/ENIT dataset (A town /village name written by 12 different writers).

Table 1. The IFN-ENIT database

Sets	Words	Characters
a	6537	51984
b	6710	53862
c	6477	52155
d	6735	54166
e	6033	45169
Total	32492	257336

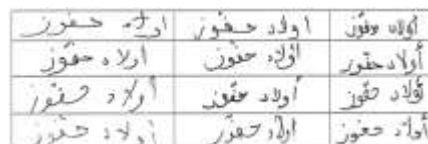


Fig 6. Arabic Words from IFN/ENIT dataset [94]

The scarcity of data can pose a significant impediment to the performance of deep neural networks employed in each field research, including our model. To mitigate this issue, we have incorporated the strategy of Data Augmentation. This approach amplifies the size and diversity of our dataset, thereby bolstering the performance and robustness of the system.

Data augmentation

Data augmentation techniques play a crucial role in enhancing the performance of handwriting recognition systems, particularly when dealing with complex scripts like Arabic. For the IFN-ENIT database, which is a widely used resource for Arabic handwriting recognition, data augmentation can involve various transformations such as rotations, translations, scaling, and shearing [95, 96]. These techniques help increase the size of the dataset and introduce a variety of writing styles and character orientations that the model may encounter, thereby improving its ability to generalize and perform robustly on unseen data. Moreover, data augmentation helps in mitigating overfitting by providing a broader spectrum of data for the model to learn from. This is especially crucial for our system as it is designed to recognize a wide array of Arabic handwriting styles.

In our work, we employ a variety of data augmentation techniques including geometric transformations, morphological operations, and cropping. By introducing variations such as rotation, scaling and alterations in writing thickness, we significantly increase the diversity and size of our training data. The application of these techniques has led to a significant increase in the robustness and accuracy of our models, demonstrating their efficacy in improving model performance. It is important to mention that the choice of data augmentation techniques should be carefully made considering the specific characteristics of Arabic script and used database.

Discussion

In order to assess the efficacy of our proposed Arabic handwriting recognition system, we have conducted a comprehensive analysis from three distinct aspects. This evaluation not only takes into account the performance of the system but also considers the results of our previous works for comparative analysis. Furthermore, the elaboration of different systems using various techniques has been incorporated into our study, thereby providing a holistic view of the system's performance. This rigorous approach ensures that our evaluation is both thorough and robust, providing valuable insights into the system's strengths and potential areas for improvement. Table 3 summarizes the comparison of proposed system with our previous models, which include Arabic handwriting recognition based HMM, HMM-MLP, and CNN-HMM.

The comparative analysis of our various Arabic handwriting recognition systems, as presented in Table 3, reveals in one hand the progression from Hidden Markov Models (HMM) to neural networks architecture. Each subsequent method demonstrates an improvement in the recognition rate, indicating that the incorporation of advanced techniques and architectures enhances performance. In other hand, the CNN-BLSTM-CTC/WBS model that outperforms all other models by a significant margin, achieving a recognition rate of 91.69% without data augmentation step. This highlights the effectiveness of this approach for Arabic handwriting recognition.

System	Approach	RR (%)
Rabi [38]	HMM	87.93
Rabi [43]	HMM-MLP	88.74
Amrouch [49]	CNN-HMM	88.95
Present work (without data augmentation)	CNN-BLSTM-CTC/WBS	91.69

To explore the effectiveness of each method, we conducted a series of experiments. Table 4 provides a more detailed evaluation of our model under various scenarios and techniques. The use of data augmentation has shown to enhance the model's accuracy by 2.89 %. This indicates that the model has effectively learned more generalized features, leading to improved performance on unseen data.

In terms of decoding strategies, the Word Beam Search (WBS) decoder yielded the best results among all decoders. This highlights the significance of incorporating linguistic knowledge into the decoding process, which can substantially enhance the system's performance. The distinct characteristics of each decoder influence their effectiveness. The Best Path decoder, while simple, selects the most probable output at each time step without considering dependencies between consecutive characters. Beam Search improves upon this by considering a 'beam' of the top 'k' probable sequences at each time step, allowing for consideration of more potential sequences. However, it is the Word Beam Search that proves to be the most effective. By incorporating language models to favor sequences that form valid words, WBS significantly enhances the decoding process and leads to superior results.

	Best Path	Beam Search	Word Beam Search
Without data augmentation (RR%)	89.02	90.22	91.69
With data augmentation (RR%)	89.72	92.17	94.58

These results shows that the WBS technique not only performs better overall, but also benefits more from data augmentation compared to the other techniques. This could be due to the fact that WBS is a more flexible decoding technique, which can better leverage the additional diversity provided by data augmentation. This highlights the significance of incorporating linguistic knowledge into the decoding process, which can substantially enhance the system's performance. The distinct characteristics of each decoder influence their effectiveness.

In the last phase of our evaluation, we juxtapose our system with existing state-of-the-art methodologies. Our model sets itself apart through its effectiveness, which is a result of the incorporation of various combined techniques. As depicted in Table 5, our approach achieves a recognition rate (RR) of 94.58%. This performance is competitive when compared to other approaches such as the ConvLSTM-CTC and GANs-BLSTM methods. However, our model significantly surpasses the performance of standard CNN-RNN and CNN-SVM methods.

Table 4. State-of-the-art approach on the IFN/ENIT dataset

Work	Approach	RR (%)
[67]	CNN-BLSTM	91.79
[28]	ConvLSTM- CTC	95.05
[70]	CNN- RNN	87.4

[91]	GANs- BiLSTM	95.87
[97]	CNN-SVM	92.95
Our work	CNN-BLSTM-CTC/WBS	94.58

The findings from our study show the effectiveness of our proposed model for Arabic handwriting recognition. The robust performance of our model, with hybrid deep learning approach using CNN-BLSTM with a connectionist temporal classification loss function, using WBS decoder, presents a promising strategy for this task. Future work could explore ways to further optimize our model to close the gap with the highest performing methods.

Conclusions and Recommendations

This research has presented an approach to Arabic handwriting recognition using a Convolutional Neural Network as a feature selector, a Bidirectional Long Short-Term Memory network for classification, and a Connectionist Temporal Classification (CTC) layer for label alignment, decoded with a Weighted Beam Search decoder. The proposed model has demonstrated its effectiveness in recognizing Arabic handwriting, as evidenced by the evaluation on the IFN/ENIT database.

The use of data augmentation techniques has further enhanced the model's performance by increasing the diversity of the training data. This has resulted in a more robust classifier that can handle a wide range of handwriting styles and variations. The comparative analysis with different techniques has provided valuable insights into the effectiveness of each method used, further validating the proposed model's robustness and accuracy. This research contributes to the ongoing efforts in the field of Arabic handwriting recognition, and the findings suggest promising directions for future work. The proposed model's architecture and the strategies employed open up possibilities for further optimizations and improvements, potentially leading to even more accurate and efficient Arabic handwriting recognition systems.

Looking ahead, the integration of Generative Adversarial Networks (GANs) could be a promising avenue for further enhancing the performance of our model. GANs, which consist of two neural networks - a generator and a discriminator - competing against each other, have shown remarkable success in generating new data that is similar to the input data. In the context of our work, GANs could be used to generate additional synthetic training samples, thereby increasing the diversity and volume of our training data. This could potentially lead to improved model robustness and generalization capabilities. Future research could explore the integration of GANs into our existing framework and investigate the impact on Arabic handwriting recognition performance. In addition to the aforementioned strategies, another promising direction for future work is the exploration of Transformer models. Transformers, which have been highly successful in various natural language processing tasks, could potentially be adapted for the task of Arabic handwriting recognition. The self-attention mechanism in Transformers allows them to capture dependencies in the data regardless of their distance, which could be particularly useful for recognizing handwriting where the context plays a crucial role.

To conclude, the field of Arabic handwriting recognition continues to present numerous opportunities for exploration and innovation. The potential to push the boundaries of what is currently achievable is an exciting direction for future work.

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Anggaran 2020.

Conflict of Interests

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